

Due Diligence process for research projects

The following information outlines the process for Due Diligence checks when applying for external research funding and managing projects with partners (excluding UK HEIs). This includes applicants, subcontractors and projects partners from overseas HEIs and other national and international organisations.

Introduction

Where your funded research project involves partners, collaborators or third parties

required before entering into partnerships provides a steer into how agreements, contracts, associations or any

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In terms of international collaborations, currently (2022) the University cannot send any project funds to any projects with third parties based in North Korea, Iran, Sudan, South Sudan, Syria and ~~Cas~~ ^{Libya} the university follows the [Office of Foreign Assets Control \(OFAC\)](#) listings. For projects with partners in the remaining sanctioned countries of Cuba and Venezuela or narrowly sanctioned countries (countries where specific individuals are sanctioned), there may potentially be issues arising with working with these partners on financial grounds. Such issues include the transferring of funds to partners in these countries if any there is any US involvement. Please seek further advice and assistance through Research Services to discuss what processes or licences can potentially be put in place to be able to work with these countries.

The DD process is split into two distinct stages. The first stage is checks conducted prior to the application stage. This initial stage of checks are shorter and are a lighter touch primarily to gather basic information on the partner and to highlight any potential issues or red flags with working with the partner. The second, more expansive checks, are conducted at the award stage and form part of the award process.
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ProjectImplementation

Due Diligence Process Documents

Documents related to the due diligence process include;

- x [Due Diligence Process](#)
- x [Glorres](#)